1	<b>Emotion Regulation in Times of COVID-19:</b>
2	A Person-Centered Approach Based on Self-Determination Theory
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#### Abstract

28 Although the COVID-19 crisis is a worldwide threat to individuals' physical health and psychological 29 well-being, not all people are equally susceptible to increased ill-being. One potentially important 30 factor in individuals' vulnerability (versus resilience) to ill-being in the face of stress is emotion 31 regulation. On the basis of Self-Determination Theory, this study examined the role of three emotion 32 regulation styles in individuals' mental health during the COVID-19 crisis, that is, integration, 33 suppression, and dysregulation. Participants were 6584 adults (77 % female,  $M_{age} = 45.16$  years) who 34 filled out well-validated measures of emotion regulation, depression, anxiety, life satisfaction, and 35 sleep quality. To examine naturally occurring combinations of emotion regulation strategies, 36 hierarchical k-means clustering was performed, yielding 3 profiles: (a) low scores on all strategies 37 (indicating rather low overall levels of worry; 27%), (b) high scores on integration only (41%), and (c) 38 high scores on suppression and dysregulation (33%). Participants in the profiles scoring high on 39 suppression and dysregulation displayed a less favorable pattern of outcomes (high ill-being, low life 40 satisfaction, and poorer sleep quality) compared to the other two groups. Between-cluster differences 41 remained significant even when taking into account the corona-related worries experienced by people. 42 Overall, the findings underscore the important role of emotion regulation in individuals' mental health 43 during mentally challenging periods such as the COVID-19 crisis. Practical implications and directions 44 for future research are discussed.

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46 Keywords: COVID-19, emotion regulation, self-determination theory, mental health

47 The COVID-19 crisis brought about many different types of stressors that could undermine 48 individuals' mental health (Vindegaard & Benros, 2020). However, not all individuals are equally 49 susceptible to this risk. Emotion regulation has been forwarded as a key factor to understand individual 50 differences in the way people adjust to the COVID-19 crisis (Schimmenti et al., 2020). Unfortunately 51 however, research on the role of emotion regulation on people's mental health during the COVID-19 52 crisis is scant. Furthermore, the few studies available (e.g., Jiang et al., 2020) typically focused on one 53 or a few emotion regulation strategies, without considering the interplay between different emotion 54 regulation strategies and the consequences of this interplay for mental health in the context of COVID-55 19. Accordingly, there is a need for research identifying within-person combinations of different 56 emotion regulation strategies (i.e., profiles) and examining associations between these profiles and 57 individuals' mental health during the COVID-19 crisis.

Empirically, an examination of within-person combinations requires a person-centered statistical approach such as cluster analysis rather than a variable-centered approach (Bergman & Wangby, 2014). Conceptually, such research needs to be conducted from a theoretical perspective distinguishing between multiple dimensions of emotion regulation that differ in terms of their functional role in mental health. The current study relies on Self-Determination Theory (SDT; Ryan & Deci, 2017), a motivational theory offering a multidimensional conceptualization of emotion regulation and considering integrative regulation as the most effective type of emotion regulation.

The current study aims to contribute to the literature in two ways. First, at the empirical level, the study aims to strengthen the literature on COVID-19 and mental health by adopting a personcentered statistical approach to emotion regulation. Second, at the conceptual level, this study aims to be innovative by relying on SDT and by introducing the relatively new concept of integrative emotion regulation in research on COVID-19. Corresponding to these two anticipated contributions of the study, we next discuss (a) the importance of adopting a person-centered approach to emotion regulation 71 during COVID-19 and (b) the value of considering integrative emotion regulation as a novel and 72 potentially adaptive strategy to deal effectively with COVID-related stressors.

#### 73 **Combinations of Emotion Regulation Strategies and Mental Health during COVID-19**

74 The COVID-19 crisis entails both immediate and more long-term stressors that potentially 75 erode individuals' resources and mental health (Panchal et al., 2020). During the initial phases of the 76 COVID-19 crisis, when governments across the world declared lockdown measures to contain the 77 spreading of the virus, people had immediate concerns about their own health and about the availability 78 of food and medical supplies (Carroll et al., 2020; Stephens et al., 2020). People also had more long-79 term concerns, for instance about their financial situation and about the duration and the 80 unpredictability of the situation at large (Kämpfen et al., 2020). Confronted with these various stressors 81 during the COVID-19 crisis, many people displayed increased risk for ill-being and decreased well-82 being. Indeed, several studies reported an elevated prevalence of depressive symptoms (Choi et al., 83 2020) and anxiety (Roy et al., 2020) as well as reductions in life satisfaction (Satici et al., 2020), sleep 84 quality (Altena et al., 2020; Cellini et al., 2020), and overall well-being (Zhang et al., 2020). At the 85 same time, people were found to differ widely in terms of adjustment to this challenging period, with 86 many people also maintaining stable and high levels of mental health (e.g., Grossman et al., 2021; 87 Wang et al., 2021).

88 Emotion regulation, which can be broadly defined as the process of 'monitoring, evaluation, 89 and modification of emotional reactions' (Compas et al., 2017, p. 941), is key to understand 90 individuals' responses to stress, worries, and concerns regarding negative life events (Parkinson et al., 91 2016). Therefore, there have been several calls to examine the role of emotion regulation in 92 individuals' mental health during the COVID-19 period (Restubog et al., 2020; Schimmenti et al., 93 2020). However, to date, the number of studies examining emotion regulation in the context of 94 COVID-19 is rather limited. The few studies currently available have focused mostly on the effects of 95 cognitive reappraisal, that is, a mental re-interpretation of the situation to prevent a strong emotional

96 response (Gross, 1998, 2014). To illustrate, Jiang et al. (2020) showed that Chinese adults who 97 engaged in cognitive reappraisal were less likely to display symptoms of post-traumatic stress during 98 the COVID-19 period. Similarly, Xu et al. (2020) found, among Chinese adults in guarantine, that 99 cognitive reappraisal was related negatively to anxiety, and that this strategy also dampened the 100 association between stress and anxiety. Further, cognitive re-appraisal was related negatively to 101 COVID-19 worries and concerns (e.g., Luu, 2021; Prikhidko et al., 2020). For instance, Muñoz-102 Navarro and colleagues (2021) showed that the use of cognitive reappraisal resulted in less concerns 103 regarding COVID-19 contamination, even when scoring high on general anxiety. Other studies have 104 relied on general measures that aggregate several (supposedly) adaptive emotion regulation strategies 105 (e.g., acceptance and cognitive reappraisal). Using such an aggregated measure, Jungmann and 106 Witthöft (2020) found in a sample of German adults that adaptive emotion regulation related 107 negatively to corona-related anxiety.

108 Although studies have begun to show that emotion regulation may play an important role in 109 mental health during the COVID-19 crisis, research needs to go beyond a focus on one specific 110 strategy or the use of summary measures of emotion regulation. That is, to better understand the role 111 of emotion regulation in adaptation to the COVID-19 crisis, it is important to rely on a 112 multidimensional conceptualization distinguishing between emotion regulation strategies with 113 differential consequences for mental health (e.g., Bergman & Wangby, 2014). Further, because 114 different emotion regulation strategies tend to co-occur within persons, these strategies should not be 115 considered in isolation. People typically have different emotion regulation strategies available in 116 their repertoire (Aldao et al., 2013; Blanke et al., 2020; Ford et al., 2019). As such, it is important to 117 examine within-person profiles of emotion regulation strategies and associations of these profiles 118 with mental health outcomes (Dixon-Gordon et al., 2015; van den Heuvel et al., 2020). Person-119 centered statistical analyses, such as cluster analysis, allow for the identification of such profiles, 120 thereby detecting in a dataset the most common and naturally occurring combinations of emotion

121 regulation strategies among all possible combinations of a given set of strategies. To conceptualize

122 different emotion regulation strategies, this study relied on the theoretical framework of Self-

123 Determination Theory (SDT; Ryan & Deci, 2017), a general theory on motivation and mental health

- 124 that provides a differentiated approach to emotion regulation.

#### 125 A Self-Determination Theory Perspective on Emotion Regulation

126 SDT distinguishes between three modes of emotion regulation that vary in their level of 127 autonomy (Roth et al., 2009; Ryan et al., 2016). Integrative emotion regulation is the most autonomous 128 type of regulation. It is characteristic of people who adopt a welcoming and accepting stance towards 129 emotions, even when these emotions are painful and difficult (Roth et al., 2014; Shahar et al., 2018). 130 People high on integrative emotion regulation take an active interest in their negative emotions, thereby 131 trying to understand how these emotions inform them about their preferences and values. Ultimately, 132 this better understanding of one's emotions also provides direction to people's actions. They know 133 better how to act upon their emotions, feel free to either communicate or withhold their emotions, and 134 see how they can respond more adequately to similar emotion-laden situations in the future (Benita, 135 2020; Roth et al., 2019). Emotional suppression represents a more controlled type of emotion 136 regulation where people deny and minimize the strength and importance of emotions towards 137 themselves (Kim et al., 2002; Roth et al., 2019). In addition to this experiential suppression, people 138 also feel compelled to hide their negative emotions towards others, thereby suppressing the expression 139 of emotions (Roth et al., 2009). With emotional dysregulation, people feel overwhelmed by their 140 negative emotions, unable to understand the origins of these emotions, and incapable of canalizing or 141 communicating about their emotions effectively (Houle & Philippe, 2020). Therefore, they feel 142 helpless in the face of negative emotions (Ryan et al., 2016).

143 The concept of integrative emotion regulation is relatively new (Roth et al., 2019). It is akin to, 144 yet conceptually and empirically distinct from, related constructs such as acceptance (Dan-Glauser & 145 Gross, 2015) and mindfulness (Brown & Ryan, 2003). Emotional integration shares with these 146 constructs a welcoming and open attitude towards emotions. However, it also includes a more action-147 oriented attitude. It is not only about experiential openness for emotions but also about learning from 148 these emotions and using emotions to inform future behaviors, decisions, and goals (Roth et al., 2019). 149 Recent research has begun to corroborate the benefits associated with emotional integration, showing 150 positive associations with personal well-being (Benita, 2020) and adaptive social outcomes such as 151 prosocial behavior, empathy, and intimacy (Benita et al., 2017; Roth & Assor, 2012). Longitudinal 152 research showed that emotional integration even predicted increases across time in mental health 153 (Brenning et al., 2015). Experimental studies demonstrated causal effects of situationally induced 154 emotional integration on adaptive processing of threatening stimuli (Roth et al., 2014, 2018). In these 155 experimental studies, people instructed to engage in emotional integration during a fear-eliciting 156 movie, compared to participants instructed to suppress or minimize their emotions, displayed less 157 anxiety and stress when confronted again with this movie on another occasion (Roth et al., 2014, 2018). 158 In contrast, SDT-based studies (Benita, 2020; Brenning et al., 2015; Houle & Philippe, 2020) and 159 research in the broader literature on emotion regulation (Gross, 2015) have shown that both emotional 160 suppression and dysregulation are related to lower mental health and greater risk for ill-being. These 161 maladaptive effects of suppression and dysregulation have also been demonstrated in longitudinal 162 (e.g., Brenning et al., 2015; McLaughlin et al., 2011) and experimental research (Gross, 1998; Gross 163 & Levenson, 1997).

Although research has begun to document the mental health benefits associated with emotional integration relative to suppression and dysregulation, no studies to date directly examined the relevance of these strategies for individuals' adjustment to the COVID-19 crisis. This is unfortunate because emotional integration is considered a resource for resilience in the context of highly stressful conditions (Roth et al., 2019; Weinstein et al., 2013). In contrast, suppression may have momentary benefits but is likely to backfire during more prolonged periods of stress, such as a stay-at-home lockdown (Gross, 2015). Similarly, dysregulation is a risk factor for mental health problems during
unpredictable periods because it leads to a sense of uncontrollability (Compas et al., 2017).

172 Importantly, SDT's conceptualization of emotion regulation allows for an application of the 173 person-oriented approach discussed before. Applied to the SDT taxonomy of emotion regulation, such 174 a person-centered analysis could reveal a profile characterized by a combination of the two 175 maladaptive emotion regulation strategies. It has indeed been argued that emotional suppression may 176 go hand in hand with dysregulation across time (Gross, 2015). Because suppression is mentally 177 effortful, people can suppress their negative emotions only for so long. During an extensive period of 178 stress, emotional suppression may ultimately result in dysregulation once people's mental energy is 179 drained. Another possibility is that some people combine both adaptive and more maladaptive emotion 180 regulation strategies, with people for instance switching back and forth between emotional integration 181 and dysregulation. Indeed, the openness to negative emotions characteristic of emotional integration 182 may from time to time give rise to dysregulation among people who feel occasionally overwhelmed 183 by their strong emotions. To the best of our knowledge, no studies to date adopted such a person-184 centered approach to the emotion regulation strategies identified in SDT. Such an approach can yield 185 innovative findings that are important from both a fundamental and an applied perspective. In practice, 186 people display combinations of emotion regulation strategies and practitioners (e.g., therapists and 187 counselors) are more likely to recognize such profiles of strategies than isolated strategies.

# 188 The Present Study

Based on SDT, this study aimed to identify profiles of emotion regulation and to relate these profiles to individuals' mental health during a COVID-19 lockdown period. We focused on individuals' regulation of feelings of insecurity and threat as these emotions were very salient during the first weeks after the outbreak of the COVID-19 crisis. In general, we expected that individuals in profiles characterized by higher levels of emotional integration would display better mental health (i.e., more life satisfaction, better sleep, and less anxiety and depression) than individuals in profiles characterized by higher levels of suppression and dysregulation. Profiles characterized by a mix of adaptive and maladaptive strategies, if any, were expected to be situated in between profiles characterized uniquely by either adaptive or maladaptive strategies. In testing this hypothesis, we controlled for the degree to which people experienced worries due to the COVID-19 crisis. This is important because individuals in different profiles may be exposed to different levels of worries, with the amount of worry (rather than individuals' style of dealing with the worries) explaining differences between the profiles.

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#### Method

203 **Procedure and Sample** 

204 Data were collected during the first two weeks of the stay-at-home lockdown in [blinded for 205 *review*], specifically between March 19<sup>th</sup> and April 2<sup>nd</sup>, 2020. The questionnaire was presented online 206 in Qualtrics and was completed by 6584 adults (77% female) with a mean age of 45.16 years (SD = 207 15.71; range: 18 - 89). They all completed an active consent which stated their responses would be 208 handled confidentially, that no negative consequences would follow after quitting the questionnaire, 209 and that the data would be anonymized to avoid a link to their personal information. In this sample, 210 38% of the participants reported to be single or widowed and 62% was married or in a relationship 211 (but not married). In terms of educational level, 72% graduated in higher education or university, 26% 212 graduated in secondary school and only 2% did not finish secondary school. In response to a question 213 about their current health (i.e., "Are you suffering from lung disease, diabetes, hypertension, 214 autoimmune diseases?"), 81% of the participants reported having no medical problems at this moment. 215 Finally, an open-ended item asked participants about their employment status. When participants 216 indicated that they were currently employed, we also asked whether they work from home (or not). 217 After coding participants' answers to this question, 47% indicated being employed and working from 218 home, 24.3% indicated being employed and working on-site, 4.2% is unemployed, 3.8% is a student, 219 3.3% is disabled, 3.2% indicated being laid off, 2.1% is on sick leave and 12.1% is retired.

220 The survey was distributed online using the social networks of the researchers and multiple 221 organizations and media (e.g., online newspapers). The instructions of the survey clarified that the 222 focus of the study was on the psychological wellbeing of the *[blinded for review]* population during 223 the lockdown period. Both at the beginning and at the end of the questionnaire, contact information 224 was provided in case participants needed psychological assistance or had questions regarding the 225 study. Before participants were thanked, the possibility was provided to receive a summary of the 226 results. On average, it took 11.35 minutes to complete the full questionnaire. The procedure used in 227 this study was approved by the ethical committee of [details removed for peer review] (nr. 2020/37).

228 Measures

Worries. Inspired by the measures of psychological (in)security used in Chen et al. (2015), four items were developed to assess people's COVID-specific worries during the lockdown. Following the stem "In the past week during the corona crisis, I was worried about...", participants were asked to indicate their COVID-specific worries concerning their health, financial situation, medication availability, and how the situation at large would evolve. Each item was rated on a scale ranging from 1 '*not true at all*' to 5 '*totally true*'. Internal consistency was moderate ( $\alpha = .64$ ).

235 **Emotion Regulation.** To measure emotion regulation, we used the Dutch translation (Brenning 236 et al., 2015) of the Emotion Regulation Inventory (Roth et al., 2009). Participants were asked to rate 237 how they regulate feelings of threat and uncertainty related to the COVID-19 crisis during the previous 238 week. For each subtype of emotion regulation, six items were rated on a scale ranging from 1 'not true 239 at all' to 5 'totally true'. The scales for integrative emotion regulation (e.g., 'I examine my negative 240 feelings to understand their sources',  $\alpha = .78$ ), suppression (e.g., 'When I'm having these negative 241 feelings, I make sure not to show them',  $\alpha = .86$ ) and dysregulation (e.g., 'When I'm experiencing 242 these negative feelings, I can't concentrate on other things I have to do',  $\alpha = .82$ ) displayed adequate 243 internal consistency.

244 **Subjective Well-Being.** As for indicators of subjective well-being, participants rated single 245 items tapping into their overall level of life satisfaction and sleep quality in the previous week (e.g., 246 Fujita & Diener, 2005). Using the most face valid item of the Satisfaction with Life Scale (Pavot & 247 Diener, 1993), participants were asked to rate to what extent they were satisfied with their life during 248 the past week on a scale going from 1 (seldom or never, less than 1 day) to 4 (mostly or all the time, 5 249 to 7 days). Using the most face valid item from the Pittsburgh Sleep Quality Index (Buysse et al., 250 1989), participants were asked to rate their overall sleep in the past week on a scale ranging from 1 251 (very bad) to 4 (very good).

**III-Being.** To measure participants' ill-being, we administered two scales tapping into anxiety and depressive symptoms experienced during the past week. Anxiety was measured with a 5-item version of the State Trait Anxiety Inventory (STAI, Marteau & Bekker, 1992) and depressive symptoms were measured with a 6-item version (Van Hiel & Vansteenkiste, 2009) of the Center for Epidemiological Studies – Depression scale (CES-D; Radloff, 1977). Items for both scales had to be rated on the same response scale, ranging from 1 (*seldom or never, less than 1 day*) to 4 (*mostly or all the time, 5 to 7 days*). Both questionnaires had acceptable reliability ( $\alpha_{anxiety} = .87$ ;  $\alpha_{depression} = .80$ ).

259 Plan of Analysis.

260 Preliminary analysis. Analyses were performed in R (R Development Core Team, 2019). In a
261 set of preliminary analyses, associations between background variables [gender, age, duration of the
262 crisis (in weeks), educational level, health status, relationship status, employment status, and worries]
263 and the study variables were examined with a Multivariate ANalysis of COVAriance (MANCOVA).

*Clustering procedure.* To perform person-centered analysis on the emotion regulation strategies, multivariate cluster analysis was used. Cluster analysis is ideally suited to determine which limited set of combinations of emotion regulation styles (among all theoretically possible combinations) naturally occur in a given sample. Much like a factor analysis reduces a set of items to a more limited number of underlying factors, cluster analysis aims to provide a parsimonious solution, 269 thereby identifying the smallest possible number of profiles to represent the combinations of the study 270 variables in the population. Specifically, we used Hierarchical K-Means clustering and we preferred 271 this method to other commonly used person-oriented methods such as Latent Profile Analysis (LPA), 272 for two reasons. First, we sought to identify clearly distinct and non-overlapping profiles of emotion 273 regulation strategies. Because LPA assumes differences in the variances of the variables by profile, it 274 allows for covariance between the profiles. By contrast, K-Means clustering does not include such 275 geometric flexibility and as such results in profiles that do not overlap. Because Hierarchical K-Means 276 clustering assumes 'statistical independence' between profiles, it also allows for a cleaner examination 277 of between-group differences unaffected by statistical problems such as multicollinearity. As such, it 278 allows for an easier interpretation. Second, LPA (which is based on the method of Gaussian Mixed 279 Modelling) assumes multivariate normality within profiles, while Hierarchical K-Means clustering is 280 model-free and a better fit with data that are not normally distributed within profiles.

281 The cluster analysis was performed in a number of steps. First, we standardized all study 282 variables to make them comparable and to detect univariate outliers (based on a Median Absolute 283 Deviation larger than 3, Leys et al., 2019) and multivariate outliers (i.e., values higher than a Median-284 based Mahalanobis distance of 22). Because the cluster analysis procedure is based on means, which 285 are not robust to outliers, we decided to remove all detected outliers from the dataset (e.g., Hautamäki 286 et al., 2005). Next, we performed a well-validated 2-step clustering procedure (Gore, 2000). It starts 287 with a hierarchical clustering procedure (i.e., the most similar cases are linked to each other) from which the output is used as input for a K-Means clustering procedure (i.e., minimizing the within-288 289 cluster variation and maximizing the between-cluster variation). This 2-step approach has been 290 proposed as the most efficient and valid way of clustering (Arai & Barakbah, 2007). Instead of starting 291 the K-Mean clustering algorithm with random starting points (i.e., minimizing the within-cluster 292 variation by comparing each case to its position towards another cluster; Hartigan & Wong, 1979), the 293 centroids emerging from the Hierarchical clustering procedure were used as initial starting points to avoid a number of statistical issues (i.e., sensitivity to the order of cases, number of iterations, etc.).
At the start, an Euclidian distance matrix is calculated, as the most common measure of 'cluster compactness', followed by the calculation of the agglomerative coefficient (*ac*) for different linkage methods. The closer the *ac* is to 1, the more optimal the linkage method is for the dataset. In the second step, the K-Means clustering procedure is performed using the Hartigon and Wong algorithm (Hartigan & Wong, 1979).

300 We evaluated the quality and the validity of the clustering procedure using three criteria. First, 301 we checked the 'cluster tendency', which represents the suitability of the dataset to be clustered into 302 meaningful clusters. To do so, we calculated the Hopkins statistic H (Lawson et al., 1990) which 303 indicates better suitability when closer to 1. Second, the optimal number of numbers is checked by 304 four different validation techniques: the Elbow method (i.e. the number of clusters with both a 305 minimum of within-cluster variation and a maximum of between-cluster variation), the Average 306 Silhouette method (i.e. the number of clusters with the highest average silhouette, indicating the best 307 quality of clustering; Kaufman & Rousseeuw, 1990), the Gap statistic method (i.e. the number of 308 clusters with the highest Gap-statistic; Tibshirani et al., 2001) and, at last, a summary of 30 indices 309 reporting the most optimal number of clusters using the 'NbClust' function (Charrad et al., 2014), 310 including the CH index (Calinski & Harabasz, 1974). Third, the stability of the cluster solution was 311 checked with a 'double split cross-validation' procedure (e.g., Vansteenkiste et al., 2009). Herein, the 312 total sample is divided into two equal random samples on which the hierarchical clustering procedure 313 is performed. Instead of using the results from this procedure as initial values for the K-Means 314 clustering procedure, the centroids are switched between datasets. The stability is checked with a 315 Cohen's Kappa-index k testing the correspondence between the subsample-clustering results and the 316 clustering results forming from the original clustering procedure. Acceptable cluster stability is 317 assumed when k is .60 or higher (Asendorpf et al., 2001). The final results of the clustering procedure

318 will be presented in a barplot with the standardized cluster variables as a function of the cluster 319 classification.

*Between-cluster differences.* In the final step of the analyses, a MANCOVA is performed with the relevant covariates, cluster membership as a predictor, and with the dependent variables (subjective well-being and ill-being) as outcomes using Wilks' Lambda. In the univariate tests, post-hoc Tukey tests are performed for multiple comparison in case the predictor 'cluster' has more than 2 levels. Here, we applied the Bonferroni correction for *p*-values. The assumptions for linearity, normal residuals and homoscedasticity are checked.

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#### Results

# 327 Preliminary Analyses

328 Pearson correlations and descriptive analyses can be found in Table 1. First, as a continuous 329 demographic variable, age is related significantly to all study variables, with older participants 330 reporting less dysregulation, integration, anxiety, depressive symptoms, and worries and reporting 331 more suppression, higher life satisfaction, and better sleep quality. Corona-related worries are 332 correlated positively with all three emotion regulation strategies, with the highest correlation for 333 dysregulation and the lowest correlation with integration. Corona-related worries were also associated 334 with more depressive symptoms and more anxiety and with poorer sleep quality and less life 335 satisfaction.

As regards the emotion regulation strategies, dysregulation is related positively to both integration and suppression, with the latter two strategies being related negatively. Further, dysregulation and suppression both relate positively to more depressive and anxious symptoms and negatively to sleep quality and life satisfaction. Integration was largely unrelated to the dependent variables, demonstrating only very small correlations with more anxious and depressive symptoms and more life satisfaction.

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342 Next, associations between categorical background variables (gender, educational level, health 343 status, crisis duration, working status, and relationship status) and the study variables were inspected 344 using a MANCOVA. Multivariate significant effects were found for all background variables. In terms 345 of gender, women displayed more dysregulation and integration, more anxious symptoms, depressive 346 symptoms, worries, and lower sleep quality compared to men (Wilks'  $\lambda = .951$ ; F(8, 5926) = 37.89, p 347 < .001). Participants with a lower educational level showed higher scores for dysregulation, 348 suppression and lower scores for integration and well-being (Wilks'  $\lambda = .949$ ; F(16, 11298) = 18.83, p < .001). Next, participants without health problems reported less suppressive emotion regulation, 349 350 less worries and less depressive symptoms (Wilks'  $\lambda = .975$ ; F(16, 11850) = 9.26, p < .001). 351 Participants who completed the questionnaire in the second week of the lockdown period reported 352 lower integration, dysregulation, poorer sleep quality as well as more depressive symptoms compared 353 to participants who completed the questionnaire in the first week (Wilks'  $\lambda = .963$ ; F(8, 5926) = 28.09, p < .001). In terms of employment status, participants working from home reported less suppressive 354 355 emotion regulation, more integrative emotion regulation, better sleep quality, and fewer worries 356 compared to those not working from home (Wilks'  $\lambda = .881$ ; F(59, 31799) = 13.50, p < .001). Similarly, 357 participants working from home had lower scores on these variables compared to all categories of 358 unemployed participants. Only the retired status was an exception to this pattern, with those being 359 retired reporting lower dysregulation, less depressive and anxiety symptoms, more life satisfaction, 360 and similar sleep quality and worries compared to those working from home.

Finally, participants with a partner displayed lower integration, fewer symptoms of depression, and more dysregulation and life satisfaction than participants without a partner (Wilks'  $\lambda = .873$ ; *F*(40, 25816) = 20.40, *p* < .001). Given these findings, we controlled for all of these covariates in the main analyses.

# 365 A person-centered approach: Hierarchical K-Means Analyses

After standardization of the emotion regulation variables and inspection of the Mahalanobis distance values, 6% of the participants were identified as outliers and excluded from the cluster analysis (leaving a total N of 6182). Studying the *ac*'s for all linkage methods in the Hierarchical clustering procedure showed that the Ward's method was most optimal (.994) compared to the complete (.969), average (.925), and single (.798) linkage method.

371 To determine the number of clusters and the quality of the solution, the clustering procedure 372 was explored for a range of 0 to 10 clusters. First, a H-statistic of .62 (>.50) was found, indicating a 373 moderate clustering tendency. Figure 1 presents a graphical representation of all validation techniques 374 to test the most optimal number of clusters in the current dataset. The elbow-method figure (Figure 1, 375 upper left) showed a balance between within- and between-cluster variance on the three-cluster 376 solution. Next, two clusters have the highest silhouettes, followed by three and four clusters (Figure 1, 377 upper right). The Gap-statistic (Figure 1, bottom left) indicates two and three clusters as the most 378 optimal solutions. Finally, the frequency plot (Figure 1, bottom right) shows a visualization of the 379 majority rule, demonstrating that 9 out of 30 indices (including the CH-index) point to the three-cluster 380 solution as the most optimal number of clusters. Considering all criteria, we chose the three-cluster 381 solution as the most optimal representation of the current data.

The double-split cross-validation procedure to determine cluster stability revealed a weighted k of .60 (z = 47.46, p <.001) for subset A and a weighted k of .48 (z = 34.09, p <.001) for subset B. The average of both kappa's is .54, indicating moderate cluster stability (Asendorpf et al., 2001).

The barplot in Figure 2 shows the outcome of the clustering procedure, presenting the standardized values of the study variables per cluster. To test the differences between clusters in terms of the study variables, a MANCOVA with Tukey post-hoc tests was performed with dysregulation, integration, and suppression as dependent variables, cluster membership as a predictor, and all covariates included. A significant multivariate effect (Wilks'  $\lambda = .206$ , *F*(6, 11788) = 2362.91, *p* < .001,  $\eta^2 = .55$ ) was found. In terms of univariate differences, Cluster 1 (27%) has the lowest scores for integration (F(2, 6190) = 3166.77, p < .001,  $\eta^2 = .51$ ) and dysregulation (F(2, 6190) = 2679.75, p < .001,  $\eta^2 = .46$ ). This cluster is characterized by low overall emotion regulation. Cluster 2 (41%) has significantly higher scores on integration and the lowest scores on suppression (F(2, 6190) = 2533.35, p < .001,  $\eta^2 = .45$ ). This cluster is characterized mainly by uniquely high values of integration. Cluster 3 (32%) shows the highest scores for dysregulation and suppression. Because this cluster combines two non-autonomous emotion regulation strategies, it reflects overall dysfunctional emotion regulation.

398 To examine associations between cluster membership and participants' age and levels of worry, 399 we conducted an ANOVA with cluster membership as a predictor. Participants in Cluster 1 (M=2.74, 400 SD=.68) showed the lowest levels of worry, followed by Cluster 2 (M=2.92, SD=.70) and Cluster 3 401 (M=3.29, SD=.70; F(1, 5971) = 265.68, p < .001), with differences between each of these clusters 402 being significant. No effects were found for age (F(1, 5971) = 3.38, p = .08). Next, a series of chi-403 squared tests demonstrated that male participants ( $\chi^2(2) = 133.15$ , p < .001) and participants working from home ( $\chi^2(14) = 123.24$ , p < .001) were overrepresented in Cluster 1, that participants with a 404 higher education diploma ( $\chi^2(4) = 133.6, p < .001$ ) and retired participants were overrepresented in 405 Cluster 2, and that students and single participants ( $\chi^2(2) = 50.34$ , p < .001) were overrepresented in 406 407 Cluster 3. Also, participants in Cluster 2 were more likely to have completed the questionnaire in week 408 1 of the crisis, compared to week 2 ( $\chi^2(2) = 24.17$ , p < .001). No differences were found regarding 409 health status ( $\chi^2(4) = 13.76, p < .001, p = .08$ ).

### 410 Associations between Cluster Membership and Mental Health

To study between-cluster differences in terms of the mental health outcomes, accounting for the effect of corona-related worries, a MANCOVA was conducted including all covariates (including worries) and cluster membership as a predictor of anxiety, depression, life satisfaction, and sleep quality. There was a multivariate significant effect of cluster membership (Wilks'  $\lambda = .79$ ; *F*(6, 11292) = 173.98, *p* < .001,  $\eta^2 = .11$ ). The descriptive statistics with univariate tests and annotation of Tukey 416 post-hoc tests are presented in Table 2. No assumptions were violated for any of the univariate 417 analyses, the residuals being normally distributed, a diagonal Q-Q plot, and horizontal fitted values 418 versus residual values plot with a random data cloud.

419 These results show that participants in Cluster 3 (i.e., high on dysregulation and suppression) 420 show higher scores for ill-being (i.e., anxious and depressive symptoms) and lower scores for well-421 being (i.e., life satisfaction and sleep quality) compared to participants in the two other clusters. 422 Participants in Cluster 1 (i.e., low overall emotion regulation) show the most adaptive pattern of 423 outcomes with the highest scores for well-being and the lowest scores for ill-being. Participants in 424 Cluster 2 (i.e., high on integration) scored in between those in Cluster 1 and 3 but leaned more closely 425 to the adjustment profile of those in Cluster 1 than those in Cluster 3. However, we should be cautious 426 about interpretations based on the *p*-values given the large sample size (i.e., increased type-I error). 427 Therefore, the eta-squared (Table 2) provides a clearer understanding of the practical significance with 428 small effects for sleep quality and life satisfaction and large effects for anxiety and depression (Cohen, 429 1988).

430

#### Discussion

This study aimed to investigate the role of three emotion regulation strategies, as defined in Self-Determination Theory, in individuals' mental health during the first two weeks of the first COVID-19 lockdown in *[blinded for review]*. In doing this, we used a person-centered approach to identify within-person combinations of the emotion regulation strategies in relation to multiple measures of well- and ill-being.

436 Identification of the Emotion Regulation Profiles

This study identified three profiles of emotion regulation. Participants in the first profile overally displayed low emotion regulation, thereby scoring low on both adaptive and more maladaptive emotion regulation strategies. The few previous studies adopting a person-centered approach to emotion regulation (in pre-corona times) similarly identified a profile of 'low regulators' 441 (Dixon-Gordon et al., 2015). Individuals in this profile likely experience few stressors and, as such, 442 do not face high levels of distress that require regulation. We indeed found that participants in the low 443 emotion regulation profile displayed the lowest levels of corona-related worries. With the current data, 444 it cannot be determined whether these participants were confronted with less actual stressful events 445 (e.g., less financial and health problems) or whether they are less inclined to subjectively experience 446 such events as stressful. Future research could examine (e.g., using hypothetical scenarios) whether 447 people in this profile have more benign and less catastrophizing appraisals of potentially stressful 448 events than people in the other profiles.

449 A second profile was characterized by high levels of integrative emotion regulation and low 450 levels of both dysregulation and suppression. From an SDT perspective, this profile can be viewed as 451 adaptive because people in this profile display an open and sincere interest in their negative emotions, 452 rather than minimizing and denying their emotions or feeling overwhelmed by their negative emotions 453 (Roth et al., 2019). We did not find evidence for a profile characterized by both integrative emotion 454 regulation and dysregulation. Although it seems plausible that some people who actively attend to 455 negative emotions (i.e., integrative emotion regulation) risk evolving towards dysregulation, this 456 combination of integrative emotion regulation and dysregulation was not observed in the current study. 457 One element of integrative emotion regulation may be particularly helpful in avoiding the pitfalls of 458 dysregulation, that is, individuals' use of negative emotions as input for behavior (Roth et al., 2019). 459 People high on integrative emotion regulation not only attend to their emotions but also try to learn 460 from these emotions and direct their behavior based on previous negative emotions. By doing so, they 461 feel that negative emotions can be useful guideposts for behavioral adjustment. The latter experience 462 probably protects against the sense of helplessness characteristic of dysregulation. Future research 463 could adopt a person-centered analysis with different facets of integrative emotion regulation (thereby 464 including more items for each facet than was the case in the current study). Possibly, such an analysis 465 does yield a profile of people merely attending openly to their negative emotions (but failing to use

these emotions in a constructive way as input for behavior) and at the same time feeling overwhelmedby these emotions, thus combining elements of integrative emotion regulation with dysregulation.

468 Participants in a third profile scored high on both emotional suppression and dysregulation and 469 low on emotional integration. Because this profile combines a pressured and minimizing approach to 470 negative emotions with helplessness and concerns of being overwhelmed with negative emotions, it 471 can be considered a more maladaptive profile (e.g., Ciuluvica et al., 2019). Most likely, individuals in 472 this profile attempt to dismiss and deny their negative emotions as long as possible. Because this 473 downregulation of emotions is mentally draining, people at some point no longer manage to keep their 474 negative emotions under control. These emotions then backfire with heightened valence, thereby 475 exceeding the individual's capacity to regulate them effectively.

476 Although we had anticipated a profile characterized by emotional suppression only, strikingly 477 we did not obtain evidence for this profile. Possibly, such a profile does exist in emotionally less 478 troubling situations and during less taxing periods. Indeed, the fact that we found only evidence for a 479 profile in which suppression co-occurs with dysregulation may be due to the unique historical period 480 during which these data were collected. The COVID-19 lockdown period was challenging in many 481 ways and stressful for many people. Under such challenging conditions, a unique reliance on emotional 482 suppression may not be feasible across a long period of time and this strategy may inevitably spill over 483 into dysregulation. Perhaps during less challenging and stressful periods, at least some people do 484 manage to maintain high levels of emotion suppression without evolving towards dysregulation. Future 485 research would do well to directly compare profiles of emotion regulation between challenging and 486 more normative historical periods.

# 487 Associations of Profile Membership with Mental Health

Having identified these three emotion regulation profiles, a key aim of this study was to examine between-profile differences in terms of individuals' mental health during the COVID-19 lockdown. The most pronounced differences observed were between the maladaptive profile (combining suppression and dysregulation) and the two other profiles. Participants in the maladaptive profile reported the highest levels of anxiety and depression, the lowest levels of life satisfaction, and the poorest sleep quality. These findings are consistent with previous studies showing that both suppression and dysregulation increase the risk for mental health problems (Compare, et al., 2014). The findings also confirm the SDT-based hypothesis that non-autonomous forms of emotion regulation, where people either feel compelled to dismiss their emotions or feel unable to regulate emotions effectively, render individuals more vulnerable to distress (Roth et al., 2019).

498 Differences between the profile characterized by low emotion regulation and the profile 499 characterized predominantly by integrative emotion regulation were less pronounced than differences 500 with the maladaptive emotion regulation profile. Participants in the low regulation profile even 501 reported somewhat better adjustment than those in the integrative emotion regulation profile, thereby 502 displaying less anxiety and depression and more life satisfaction and sleep quality. It should be noted 503 that the effect size of these differences was small and that several of these effects reached significance 504 only because of the large sample size. Still, the high levels of mental health displayed by people in the 505 low regulation profile are interesting. The few person-centered studies identifying a similar profile of 506 'low regulators' also typically found that individuals in this profile are generally well-adjusted and do 507 not differ substantially from individuals in an adaptive emotion regulation profile (Dixon-Gordon et 508 al., 2015; van den Heuvel et al., 2020). Probably the main reason for these individuals' high levels of 509 mental health is that they encounter fewer stressors than individuals in other profiles and, as such, do 510 not feel a need to engage in emotion regulation. An important aim for future research is to examine 511 how these individuals would respond when they suddenly do encounter negative life events (e.g., 512 health problems due to COVID-19 or a worsening financial situation). Would most of these people 513 still refrain from using emotion regulation strategies (perhaps relying only on problem-solving as a 514 coping strategy) or would some of them transition to a profile characterized by higher levels of emotion 515 regulation? In the latter case, what factors would determine whether they switch to a maladaptive

emotion regulation profile or to a profile with more integrative emotion regulation? Longitudinal research would be ideally suited to examine the role of life events in transitions across time between emotion regulation profiles.

519 The finding that individuals in the integrative emotion regulation profile displayed higher levels 520 of mental health compared to individuals in the maladaptive emotion regulation profile is consistent 521 with SDT-based predictions and previous findings (e.g., Berking & Wupperman, 2012). This finding 522 indicates that, when people have a need to engage in emotion regulation, it is better for them to rely 523 on integrative regulation than on the more maladaptive strategies. Possibly, the benefits associated 524 with integrative emotion regulation manifest even stronger across time. Experimental studies have 525 shown that integrative emotion regulation does not reduce anxiety immediately after having been 526 exposed to a fear-eliciting stimulus but does protect against anxiety upon repeated exposure to this 527 stimulus (Roth et al., 2014). Because we assessed integrative emotion regulation concurrently with the 528 mental health outcomes, it is possible that the benefits of this emotion regulation style were somewhat 529 underestimated and become even more visible across time. Thus, longitudinal research is needed to 530 examine whether integrative emotion regulation predicts changes across time in mental health. Such 531 research would do well to include also measures of negative life events. Perhaps integrative emotion 532 regulation matters most when people are confronted with stress and adversity. Specifically, during 533 challenging episodes, individuals in the integrative emotion regulation profile may fare better than 534 individuals in the low regulation profile.

### 535 Practical Implications

536 Our findings have a number of potential implications for practice. First, because individuals 537 combining suppression and dysregulation were found to display the highest levels of risk for mental 538 health problems, they may benefit the most from interventions targeting emotion regulation. This is 539 important because the effectiveness of universal prevention programs focusing on emotion regulation 540 has been found to be relatively limited in terms of effect size (Durlak et al., 2011). One potential 541 explanation for the limited effectiveness of universal prevention programs is that many participants 542 following these programs, much like the individuals in the low emotion regulation profile identified in 543 this study, do not encounter high levels of stress and negative affectivity. As such, these people may 544 not experience a strong need to improve their emotion regulation skills. Other participants in these 545 programs, such as those in the profile characterized by high emotional integration, may already 546 routinely engage in effective emotion regulation and may also benefit only to a limited extent from the 547 program. By using a selective prevention approach and by including mainly individuals with a 548 vulnerable profile, such as those combining suppression and dysregulation, the cost-effectiveness of 549 prevention programs could be enhanced.

550 Second, our findings point to the importance of targeting integrative emotion regulation in 551 intervention programs or individual counseling. People struggling to deal effectively with stress and 552 negative affect could be taught to approach negative emotions with open awareness and to consider 553 such emotions as informational input for volitional action. Such interventions are particularly likely to 554 strengthen individuals' resilience during challenging times as the COVID-19 crisis. Although, to the 555 best of our knowledge, no intervention programs have been developed focusing specifically on 556 emotional integration, findings from experimental studies are promising and show that people can be 557 instructed to engage in emotional integration, with positive consequences for their affective 558 functioning (Roth et al., 2014, 2018). As such, it seems worthwhile to complement existing emotion 559 regulation programs, many of which focus on cognitive reappraisal and problem-solving, with 560 information and exercises about emotional integration. Before engaging in a mental reappraisal of an 561 emotional episode or actively trying to solve the problem causing the negative emotions, it may be 562 important to learn people to first welcome and acknowledge their feelings, to understand the source of 563 their own emotions, and then to decide volitionally how to act upon them (Roth et al., 2019).

564 Limitations

565 Although this study had a number of notable strengths (including the large sample, the inclusion 566 of several emotion regulation strategies, and the person-centered approach), several limitations need 567 to be addressed in future research. First, to collect the sample, we relied on a convenience sampling 568 approach. Because participants were recruited through social media and because the assessment was 569 online, the sample is not entirely representative for the population. A particular risk of this sampling 570 approach is that people facing strong adversity during the COVID-19 crisis (e.g., people in very low 571 SES conditions or confronted personally with severe health issues) were underrepresented in this 572 study. This is unfortunate because contextual adversity threatens individuals' emotion regulation 573 capacities. As such, future research would do well to actively recruit and oversample people living in 574 at-risk conditions.

575 Second, due to the cross-sectional design of this study, we could not examine the effects of 576 emotion regulation profiles on over-time changes in mental health. In addition, this design precludes 577 conclusions about the direction of effects. Although we assumed, based on theorizing and previous 578 longitudinal and experimental research (e.g., Brenning et al., 2015; Roth et al., 2014, 2018), that 579 emotion regulation affects mental health, it seems equally likely that mental health problems contribute 580 to more dysfunctional emotion regulation. People suffering from severe psychological distress may 581 not have the mental energy available to engage in integrative emotion regulation and may instead look 582 for shortcuts to minimize negative emotions as fast as possible (i.e., suppression). Longitudinal 583 research measuring both emotion regulation and mental health repeatedly on several occasions is 584 needed to examine the possibility of such transactional associations. Another relevant direction for 585 future research in this regard is to experimentally induce the use of emotion regulation strategies. 586 Research has shown that it is possible to induce integrative emotion regulation through experimental 587 instructions. Using such instructions, people could be encouraged to engage in integrative emotion 588 regulation on a daily basis during a period of time in the COVID-19 crisis. Their mental health could 589 then be compared with a group of individuals receiving no such instructions. Experimental

590 manipulations of emotion regulation allow for more causal conclusions and at the same time may 591 inform interventions aimed at strengthening adaptive emotion regulation.

592 Third, because this study relied only on self-report measures some of the associations obtained 593 may be inflated due to shared method bias. Future research would do well to adopt a multi-informant 594 approach, with family members of the target individual for instance reporting on the individual's 595 mental health. Another possibility is to include psychophysiological indicators of stress reactivity. For 596 instance, experience sampling methodology would allow for the collection of self-report ratings and 597 physiological indicators of stress several times during the day. Emotion regulation could then be 598 examined as a moderator of the within-person association between (objectively assessed and 599 subjectively experienced) stress and mental health problems. Moreover, the survey used in this study 600 had to be short in order to motivate people to participate during a challenging time period. Therefore, 601 the constructs were measured using a limited number of items and sometimes even with single items. 602 Particularly with regard to the assessment of emotion regulation strategies, a disadvantage of this 603 approach was that not all facets of these rich concepts could be measured. Accordingly, future research 604 would do well to use more elaborate and multi-dimension measures (perhaps in a smaller sample with 605 participants receiving an incentive for their more intensive efforts).

#### 606 Conclusion

607 Using a person-centered approach and based on SDT as a theoretical framework, we found 608 evidence for three profiles of emotion regulation during the COVID-19 crisis. One group of people 609 overally displayed low emotion regulation, probably because they encountered few stressors and 610 corresponding negative emotions. These people displayed the highest levels of mental health. Among 611 the people who did engage in emotion regulation during the COVID-19 crisis, two qualitatively distinct 612 profiles emerged. One profile involved high levels of emotional integration only and the other profile 613 was characteristic of people who combined (and perhaps switched back forth between) suppression 614 and dysregulation. People in the integrative emotion profile reported less distress, more life

615	satisfaction, and better sleep quality than people engaging in the more maladaptive emotion regulation
616	strategies. To the extent that future longitudinal and experimental research confirms the beneficial role
617	of integrative emotion regulation in individuals' adaptation to the COVID-19 crisis (and to stress more
618	generally), this emotion regulation strategy could be an important target for intervention.
619	Data Availability statement
620	The datasets generated during and/or analyzed during the current study are available from the
621	corresponding author on reasonable request.
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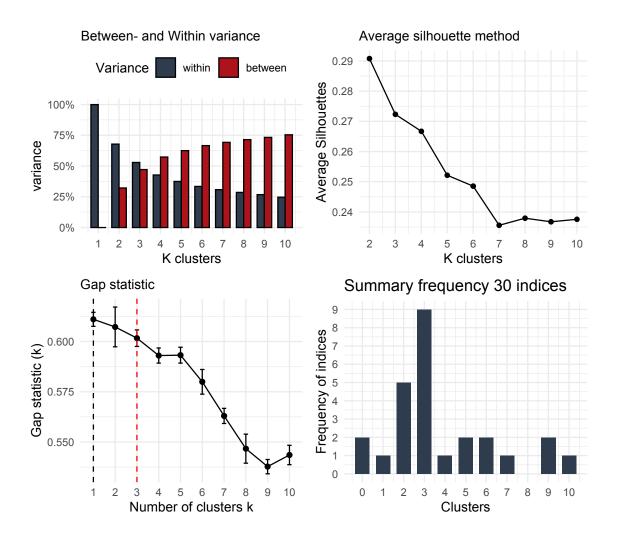
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Table

Means, standard deviations, and correlations between background and study variables.

348	Table 2. Means and standard deviations per cluster with results of univariate tests	tandard de	viations per	cluster with r	esults of uni	variate tests				
		Clu	Cluster 1	Cluster 2	er 2	Cluster 3	<sup>-</sup> 3	F	<i>p</i> -value	$\eta^2$
		(Low	(Low overall	(High integration)	gration)	(High suppression	ression	(2, 5649)		
		emotion	emotion regulation)			and dysregul	ulation)			
		M	SD	M	SD	M	SD			
	1. Anxiety	1.77 <sup>a</sup>	.61	2.05 <sup>b</sup>	.70	2.71°	.73	482.31	<.001	.15
	2. Depression	1.41 <sup>a</sup>	.40	1.57 <sup>b</sup>	.45	2.14°	.63	633.48	<.001 .18	.18
	3. Sleep quality	3.02°	.65	2.94 <sup>b</sup>	.68	2.54 <sup>a</sup>	.73	123.08	< .001	.04
	4. Life satisfaction	3.27°	.85	3.19 <sup>b</sup>	.86	2.45 <sup>a</sup>	.96	283.88	< .001	.09
349	Note. Letters refer to annotation of Tukey post-hoc tests.	annotation	of Tukey po	ost-hoc tests.						
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### **COVID-19 AND EMOTION REGULATION**



# Figure 1. Visualizations of cluster validation techniques

# COVID-19 AND EMOTION REGULATION

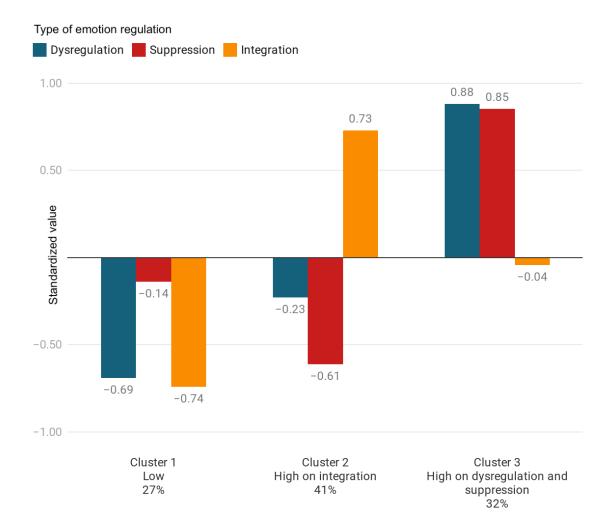


Figure 2. Barplot of clusters and features in terms of study variables.